# 10 Rec POT/FTO 1 7 JUL 2004

#### PATENT COOPERATION TREATY

# **PCT**

NOTIFICATION RELATING TO DECLARATION MADE UNDER PCT RULE 4.17

(PCT Rules 26ter.2(b), 47.1(a-ter) and 48.2(a)(x) and Administrative Instructions, Section 419)

From the INTERNATIONAL BUREAU

To:

GREENMAN, Jeffrey, M.
Bayer Pharmaceuticals Corporation
400 Morgan Lane
West Haven, CT 06516
ETATS-UNIS D'AMERIQUE

Date of mailing (day/month/year)		
28 May 2003 (28.05.03)		
Applicant's or agent's file reference	IMPORTANT NOTIFICATION	
5108N1P1-PCT	The state of the s	
International application No.	International filing date (day/month/year)	
PCT/US03/05396	21 February 2003 (21.02.03)	
Applicant		
BAYER PHARMACEUTICAL	S CORPORATION	
1. The applicant is hereby notified of the following regarding t		
FAN, Jianmei; HATOUM-MOKDAD, Holia; JONES, Benja	BURKE, Michael; CHEN, Zhi; DUMAS, Jacques; FAN, Dongping; amin, D.; LADOUCEUR, Gaetan; LEE, Wendy; PHILLIPS, Barton	
(i) declaration as to the identity of the inventor (Rul	cs 4.17(i) and 51bis.1(a)(i) and Section 211)	
(ii) declaration as to the applicant's entitlement, as (Rules 4.17(ii) and 51bis.1(a)(ii) and Section 212	at the international filing date, to apply for or be granted a patent	
(iii) declaration as to the applicant's entitlement, a application (Rules 4.17(iii) and 51bis.1(a)(iii) and	as at the international filing date, to claim priority of the earlier d Section 213)	
(iv) declaration of inventorship (for the purposes of and 51bis.1(a)(iv) and Section 214)	f the designation of the United States of America) (Rules 4.17(iv)	
(v) declaration as to non-prejudicial disclosures or Section 215)	exceptions to lack of novelty (Rules 4.17(v) and 51bis.1(a)(v) and	
2. Addition or correction of the declaration within the time	me limit under Rule 26 <i>ter</i> .1.	
The added or corrected declaration was received on (date), 08 May 2003 (08.05.03) which was received within the time limit under Rule 26ter.1.		
Any declaration referred to under items 1(i) to (iv) whether or not the declaration complies with Rule 4.17, will be communicated to the designated Offices concerned pursuant to Rule 47.1(a-ter) and any declaration referred to under item 1(v) will be published as part of the pamphlet pursuant to Rule 48.2(a)(x).		
3. Failure to add or correct the declaration within the tin	ne limit under Rule 26 <i>ter.</i> 1.	
The declaration, was received on (date) which was after the expiration of the time limit under Rule 26ter.1; therefore, any such declaration referred to under items 1(i) to (iv) will not be communicated to the designated Offices concerned, any such declaration referred to under item 1(v) will not be published as part of the pamphlet, and any signed declaration referred to under item 1(iv) is attached. Such declaration should be submitted by the applicant directly to the designated Offices concerned.		
The applicant's attention is drawn to Rule 51bis.2 which provides that the designated Office shall not, unless it may reasonably doubt the veracity of the declaration concerned, require any document or evidence relating to the subject matter of any declaration complying with Rule 4.17(i) to (iv) which is contained in the request or submitted to the International Bureau or directly to the designated Office. Note, however, that Rule 51bis.2 may not apply in respect of certain States. For further information, see Notes to the request form, Box No. VIII.		
6. A copy of this notification is being sent to the receiving Office	and the International Searching Authority.	
The International Bureau of WIPO 34, chemin des Colombettes 1211 Geneva 20, Switzerland	Authorized officer Carlos NARANJO	
ingrippile No. (41, 22) 238, 70, 90	Talanhana No. (41, 22) 229 92 40	

Form PCT/IB/371 (March 2001)

10 Res 7 . 1 7 . 11 2004



#### 10/17

#### **PCT REQUEST**

5108N1P1-PCT

100 44 6 1	
VIII-4-1 Declaration: Inventorship (only for	
the purposes of the designation of the United States of America)	
Declaration of inventorship (Rules 4.17(iv) and 51bis.1(a)(iv)) for the purposes of the designation of the	T homobie de alone that T had a
	I hereby declare that I believe I am the
	original, first and sole (if only one
United States of America:	inventor is listed below) or joint (if
	more than one inventor is listed below)
	inventor of the subject matter which is
	claimed and for which a patent is
	sought.
	This declaration is directed to the
İ	
	international application of which it
	forms a part (if filing declaration with
	application).
	I hereby declare that my residence,
	mailing address, and citizenship are as
	stated next to my name.
	I hereby state that I have reviewed and
	understand the contents of the
	above-identified international
	application, including the claims of
	said application. I have identified in
	the request of said application, in
1	compliance with PCT Rule 4.10, any claim
	to foreign priority, and I have
	identified below and a the last
	identified below, under the heading
	"Prior Applications," by application
	number, country or Member of the World
	Trade Organization, day, month and year
a C A	of filing, any application for a patent
	or inventor's certificate filed in a
	country other than the United States of
	America, including any PCT international
	application designating at least one
	country other than the United States of
	America, having a filing date before
	that of the application on which foreign
	priority is claimed.
I-1 Prior applications:	

	Obliniosion) - printed on 21.02.2003 02.31.02 FM
	I hereby acknowledge the duty to disclose information that is known by me to be material to patentability as defined by 37 C.F.R. § 1.56, including for continuation—in—part applications, material information which became available between the filing date of the prior application and the PCT international filing date of the continuation—in—part application.  I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under Section 1001 of Title 18 of the United States Code and that such willful false statements may jeopardize the validity of the application or any patent issued
	thereon.  ZHANG, Chengzhi
	Orange, Connecticut
	193 Mulberry Lane
r	Zhang Chenghll
	03/04/2003

VIII-4-1 Name: -1-1 VIII-4-1 Residence: (city and either US State, if applicable, -1-2 or country) VIII-4-1 Mailing address: -1-3 VIII-4-1 Citizenship: -1-4 VIII-4-1 Inventor's Signature: -1-5 (if not contained in the request, or if declaration is corrected or added under Rule 26ter after the filing of the international application. The signature must be that of the inventor, not that of the agent) VIII-4-1 Date: (of signature which is not contained in the request, or of the declaration that is corrected or added under Rule 26ter -1-6 after the filing of the international application)

VIII-4- -2-1	Name:	BURKE, Michael
VIII-4- -2-2	Residence: (city and either US State, if applicable, or country)	New Haven, Connecticut
VIII-4- -2-3	Mailing address:	216 Bishop Street Apt. 309
VIII-4-1 -2-4	Citizenship:	CA
VIII-4-1 -2-5	Inventor's Signature: (if not contained in the request, or if declaration is corrected or added under Rute 26ter after the filing of the international application. The signature must be that of the inventor, not that of the agent)	MuladBul
VIII-4-1 -2-6	1 - '	03/03/03
VIII-4-1 -3-1	Name:	CHEN, Zhi
VIII-4-1 -3 <b>-</b> 2	Residence: (city and either US State, if applicable, or country)	Hamden, Connecticut
VIII-4-1 -3-3	Mailing address:	865 Mix Avenue #409
VIII-4-1 -3-4	Citizenship:	CN OS AS
VIII-4-1 -3-5 VIII-4-1 -3-6	Inventor's Signature: (if not contained in the request, or if declaration is corrected or added under Rule 26ter after the filing of the international application. The signature must be that of the inventor, not that of the agent) Date: (of signature which is not contained in the request, or of the declaration that is corrected or added under Rule 26ter after the filing of the international application)	02/28/03

	Name:	DUMAS, Jacques
-4-1 VIII-4-1	Residence:	
-4-2	(city and either US State, if applicable, or country)	Bethany, Connecticut
VIII-4-1 -4-3	Mailing address:	98 Farmview Road
VIII-4-1 -4-4	Cilizenship:	FR
VIII-4-1 -4-5	Inventor's Signature: (if not contained in the request, or if declaration is corrected or added under Rule 26ter after the filing of the international application. The signature must be that of the inventor, not that of the agent)	Journal
VIII-4-1 -4-6	Date: (of signature which is not contained in the request, or of the declaration that is corrected or added under Rule 26ter after the filing of the international application)	February 28, 2003
VIII-4-1 -5-1	Name:	FAN, Dongping
VIII-4-1 -5-2	Residence: (city and either US State, if applicable, or country)	North Haven, Connecticut
VIII-4-1 -5-3	Mailing address:	114 Fitch Street
VIII-4-1 -5-4	Citizenship:	CN
-5-5	Inventor's Signature: (if not contained in the request, or if declaration is corrected or added under Rule 26ter after the filing of the international application. The signature must be that of the inventor, not that of the agent)	Dr. Jan
-5-6	Date: (of signature which is not contained in the request, or of the declaration that is corrected or added under Rule 26ter after the filing of the international application)	3/3/03

VIII-4-1 -6-1	Name:	FAN, Jianmei
VIII-4-1 -6-2	Residence: (city and either US State, if applicable, or country)	Hamden, Connecticut
VIII-4-1 -6-3	Mailing address:	121 October Hill Road
VIII-4-1 -6-4	Citizenship:	CN
VIII-4-1 -6-5	Inventor's Signature: (if not contained in the request, or if declaration is corrected or added under Rule 26ter after the filing of the international application. The signature must be that of the inventor, not that of the agent)	(Stareful)
VIII-4-1 -6-6	Date: (of signature which is not contained in the request, or of the declaration that is corrected or added under Rule 26ter after the filing of the international application)	3/3/2003
VIII-4-1 -7-1	Name:	HATOUM-MOKDAD, Holia
VIII-4-1 -7-2	Residence: (city and either US State, if applicable, or country)	Hamden, Connecticut
VIII-4-1 -7-3	Mailing address:	43 Joseph Lane
VIII-4-1 -7-4	Citizenship:	US Holia Halow Holdad
-7-5	Inventor's Signature: (if not contained in the request, or if declaration is corrected or added under Rule 26ter after the filing of the international application. The signature must be that of the inventor, not that of	, and the second
VIII-4-1   I -7-6   (	the agent) Date: (of signature which is not contained in the request, or of the declaration that is corrected or added under Rule 26ter after the filing of the international	2.28.200 <sup>3</sup>
	application)	

\ \ \ \ \ \ \ \ \ \ \ \ \ \ \ \ \ \ \		
VIII-4-1 -8-1		JONES, Benjamin, D.
VIII-4-1 -8-2	Residence: (city and either US State, if applicable, or country)	Hamden, Connecticut
VIII-4-1 -8-3		37 Washington Avenue
VIII-4-1 -8-4	Citizenship:	us —
VIII-4-1 -8-5	(if not contained in the request, or if declaration is corrected or added under Rule 26ter after the filing of the international application. The signature must be that of the inventor, not that of	
VIII-4-1 -8-6	the agent) Date: (of signature which is not contained in the request, or of the declaration that is corrected or added under Rule 26ter after the filing of the international application)	3/3/03
VIII-4-1 -9-1	Name:	LADOUCEUR, Gaetan
	Residence: (city and either US State, if applicable, or country)	Branford, Connecticut
VIII-4-1 -9-3	Mailing address:	31 Stone Ridge Lane
VIII-4-1 -9-4	Citizenship:	CA (/ / 20
VIII-4-1 -9-5	Inventor's Signature: (if not contained in the request, or if declaration is corrected or added under Rule 26ter after the filing of the international application. The signature must be that of the inventor, not that of the agent)	02-28-2003
	Date: (of signature which is not contained in the request, or of the declaration that is corrected or added under Rule 26ter after the filing of the international application)	

VIII-4-1 -10-1	Name:	LEE, Wendy
VIII-4-1 -10-2	Residence: (city and either US State, if applicable, or country)	Hamden, Connecticut
VIII-4-1 -10-3	Mailing address:	282 Evergreen Avenue
VIII-4-1 -10-4	Citizenship:	US )
VIII-4-1 -10-5	Inventor's Signature: (if not contained in the request, or if declaration is corrected or added under Rule 26ter after the filing of the international application. The signature must be that of the inventor, not that of the agent)	West)
VIII-4-1 -10-6		03-03-03
VIII-4-1 -11-1	Name:	PHILLIPS, Barton
VIII-4-1 -11-2	Residence: (city and either US State, if applicable, or country)	New Haven, Connecticut
VIII-4-1 -11-3	Mailing address:	498 Whitney Avenue
VIII-4-1 -11-4	Citizenship:	us ////
-11-5	Inventor's Signature: (if not contained in the request, or if declaration is corrected or added under Rule 26ter after the filing of the international application. The signature must be that of the inventor, not that of the agent)	MMM
-11-6	Date: (of signature which is not contained in the request, or of the declaration that is corrected or added under Rule 26ter after the filing of the international application)	03-03-03

# This Page is Inserted by IFW Indexing and Scanning Operations and is not part of the Official Record

### **BEST AVAILABLE IMAGES**

Defective images within this document are accurate representations of the original documents submitted by the applicant.

Defects in the images include but are not limited to the items checked:

BLACK BORDERS

IMAGE CUT OFF AT TOP, BOTTOM OR SIDES

FADED TEXT OR DRAWING

BLURRED OR ILLEGIBLE TEXT OR DRAWING

SKEWED/SLANTED IMAGES

COLOR OR BLACK AND WHITE PHOTOGRAPHS

GRAY SCALE DOCUMENTS

LINES OR MARKS ON ORIGINAL DOCUMENT

REFERENCE(S) OR EXHIBIT(S) SUBMITTED ARE POOR QUALITY

# IMAGES ARE BEST AVAILABLE COPY.

OTHER:

As rescanning these documents will not correct the image problems checked, please do not report these problems to the IFW Image Problem Mailbox.